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			TO THE UNITED STATES	KSN0014			
		DESIGNATED/ELECTI	ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR			
			G UNDER 35 U.S.C. 371	09/857353			
NTER		IONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED			
		PCT/DE99/03812	1 December 1999	4 December 1998			
		TRANSPORT SYSTEM FO	R SMALL COMPONENTS				
APPLI		Γ(S) FOR DO/EO/US Alfred Heinzl and Heinz Stad	ler				
Applic	eant h	nerewith submits to the United Sta	tes Designated/Elected Office (DO/EO/US)	the following items and other information:			
1.	×	This is a FIRST submission of it	ems concerning a filing under 35 U.S.C. 3'	71.			
2.		This is a <b>SECOND</b> or <b>SUBSEQ</b>	UENT submission of items concerning a fi	iling under 35 U.S.C. 371.			
3.		This is an express request to begin examination until the expiration	in national examination procedures (35 U.S. of the applicable time limit set in 35 U.S.C.	S.C. 371(f)) at any time rather than delay 371(b) and PCT Articles 22 and 39(1).			
4.	X			he 19th month from the earliest claimed priority date.			
5.	$\boxtimes$	A copy of the International Appl	ication as filed (35 U.S.C. 371 (c) (2))	,			
		a.   is transmitted herewith	(required only if not transmitted by the Int	ternational Bureau).			
	b. 🛮 has been transmitted by the International Bureau.						
	c. $\Box$ is not required, as the application was filed in the United States Receiving Office (RO/US).						
6	⊠ Is no required, as the approximation and a fine of the following of the (160/08).  □ Signature of the International Application into English (35 U.S.C. 371(c)(2)).						
7.	□ A copy of the International Search Report (PCT/ISA/210).						
8.		Amendments to the claims of the	International Application under PCT Artic	cle 19 (35 U.S.C. 371 (c)(3))			
		a. are transmitted herewitl	n (required only if not transmitted by the In	ternational Bureau).			
		b.  have been transmitted b	y the International Bureau.				
		t. ☐ have not been made; ho	wever, the time limit for making such amer	ndments has NOT expired.			
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9.		translation of the amendments	to the claims under PCT Article 19 (35 U.S	S.C. 371(c)(3)).			
10.	X	An oath or declaration of the inve	entor(s) (35 U.S.C. 371 (c)(4)).				
11.	X	A copy of the International Prelin	minary Examination Report (PCT/IPEA/40	9).			
12.	×		e International Preliminary Examination R				
Ite	ms 1	3 to 20 below concern document	(s) or information included:				
13.		An Information Disclosure State	ment under 37 CFR 1.97 and 1.98.				
14.		An assignment document for reco	ording. A separate cover sheet in complian	nce with 37 CFR 3.28 and 3.31 is included.			
15.	$\boxtimes$	A FIRST preliminary amendmen	ıt.				
16.		A SECOND or SUBSEQUENT	preliminary amendment.				
17.		A substitute specification.					
18.		A change of power of attorney ar	nd/or address letter.				
19.	$\boxtimes$	Certificate of Mailing by Express	: Mail				
20.	$\boxtimes$	Other items or information:					
			Notification to the International Bureau	concerning a change of Applicant;			
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1618 Ree'd PCT/PTO 0 4 JUN 2001 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/DE99/03812 KSN0014 21. The following fees are submitted:. CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO . . . . \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00 Surcharge of \$130.00 for furnishing the oath or declaration later than □ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). \$0.00 **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims 20 0 -20 =\$18.00 \$0.00 Independent claims 2 0 - 3 = Х \$80.00 \$0.00 Multiple Dependent Claims (check if applicable) \$0.00 TOTAL OF ABOVE CALCULATIONS \$860.00 Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). \$0.00 SUBTOTAL \$860.00 Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00 TOTAL NATIONAL FEE = \$860.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). \$0.00 TOTAL FEES ENCLOSED \$860.00 Amount to be: refunded \$ charged X A check in the amount of \$860.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 02-0387 A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Eric J. Groen SIGNATION **Baker & Daniels** 205 West Jefferson Blvd., Suite 250 Eric J. Grøen South Bend, IN 46601 Tel. (219)234-4149 32,230 Fax. (219)239-1900 REGISTRATION NUMBER June 4, 2001 DATE

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re a	pplication of:	) )
	Alfred Heinzl and Heinz Stadler	) ) )
Filed:	PCT/DE99/03812 December 1, 1999	()))
For:	TRANSPORT SYSTEM FOR SMALL COMPONENTS	))))
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Commissioner for Patents and Trademarks Washington DC 20231

Dear Sir:

## PRELIMINARY AMENDMENT

In the above-mentioned PCT application, please accept the enclosed application under the national stage pursuant to 35 USC § 371 and amend the application as follows:

## In the Specification:

On page 6 of 16, Line 205, replace reference numeral 10 with reference numeral 9.

On page 11 of 16, Lines 373 and 376, replace reference numeral 28 with reference numeral 31.

In Figure 13, replace reference numeral 28 with reference numeral 31 as shown in the attached marked-up version with red ink.

### In the Claims:

Please replace claims 1-19 of the application with claims 1-20 as follows:

- 1. A transport system for small components, in particular electrical components, which are arranged in series in said transport system, comprising a form chain having an arbitrary number of chain links in which the small components are accommodated and which each have one accommodation cavity formed therein having at least two walls, one of said walls being rigid and the wall opposite thereto being resilient.
- 2. A transport system according to claim 1, wherein the resilient wall consists of a central web extending in the direction of insertion of the small components and having resilient arms laterally extending therefrom.
- 3. A transport system according to claim 2, wherein the resilient arms extend over the full height of the accommodation cavity and on the outer ends thereof each have a bead directed towards the inside.
- 4. A transport system according to claim 1, wherein the resilient wall consists of an outer wall and two resilient arms, said resilient arms, being connected at the bottom side thereof to the outer wall and, at the upper ends thereof, being freestanding and resilient.
- 5. A transport system according to claim 4, wherein the outside of the rigid wall opposite the resilient wall has a slope.
- 6. A transport system according to claim 1, wherein the accommodation cavity is designed as through opening.
- 7. A transport system according to claim 1, wherein the chain links are pivotable about a pin transversely to the direction of insertion of the small components in the accommodation cavity.
- 8. A transport system according to claim 1, wherein the chain links are pivotable about a pin perpendicularly to the direction of insertion of the small components in the accommodation cavity.
- 9. A transport system according to claim 1, wherein the chain links are connected via two pins arranged perpendicularly to each other.

- 10. A transport system according to claim 1, wherein each chain link on one side thereof has two lateral arms with bores and on the opposite side thereof has a central arm with a bore for accommodating a pin.
- 11. A transport system according to claim 1, wherein the chain links are made by plastics injection molding.
  - 12. A transport system according to claim 11, wherein the pins are made of metal.
- 13. A transport system according to claim 10, wherein the pins project laterally beyond the lateral arms.
- 14. A transport system according to claim 7, wherein, on the sides of the accommodation cavities extending in the longitudinal direction of the chain, there are formed projections on both sides thereof extending in longitudinal direction, which have a width corresponding to the diameter of the pin and in the longitudinal direction thereof are arranged at the level of said pin.
- 15. A transport system according to claim 1, wherein the chain links, on one side thereof, have two lateral arms with bores and, on the opposite side thereof, have two lateral arms with axle-type projections, said axle-type projections latchingly engaging said bores upon assembly of the links.
- 16. A transport system according to claim 1, wherein the height of the accommodation cavity corresponds at least to the height of the components to be accommodated.
- 17. A transport system according to claim 1, wherein the form chain comprises chain links with different accommodation cavities for different components or component stages.
- 18. A transport system for small components, in particular electrical components, which are arranged in series in said transport system, comprising a form chain having an arbitrary number of chain links in which the small components are accommodated and which each have at least one accommodation cavity formed therein having at least two walls each, wherein, said chain links are linked one to the other about link pins, wherein some of said pins are arranged in a parallel axis to said accommodation cavity and some are arranged transverse to said accommodation cavity.

- 19. A transport system according to claim 18, the accommodation cavity is comprised of at least two walls, where one wall is rigid and the wall opposite thereto is resilient.
- 20. A transport system according to any of claim 18, wherein each chain link on one side thereof has two lateral arms with bores and on the opposite side thereof has a central arm with a bore for accommodating said pin.

# **REMARKS**

Applicants respectfully request that the above preliminary amendment be entered, and that the fees due herewith are calculated using the new claims, not the claims of the PCT application.

Respectfully submitted,

Eric J. Groen, Reg. No. 32,230

BAKER & DANIELS 205 West Jefferson Blvd., Suite 250 South Bend IN 46601 (219) 234-4149

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Figs. 14 and 15 show a sectional side view and a top plan view, respectively, of a form chain having chain links according to Figs. 12 and 13.

Figs. 1 to 4 illustrate a first embodiment of the transport system according to the invention. The transport system consists of a form chain 1 (cf. Fig. 4) composed of a series of chain links 2. Each chain link 2 has an accommodation cavity 3 in which one small component 4 each can be accommodated and fixed. For fixing the small components 4, the chain link has a rigid wall 5 and a resilient wall 6. The resilient wall 6 consists of an outer wall 7 having on the inside thereof a central web 8 extending in the direction of insertion of the small components 4 and having on both sides thereof resilient arms 9 extending therefrom. Between the free ends of the resilient arms 9 and the inside of the outer wall 7 there is thus formed an air gap 10 into which the resilient arms 9 can retract upon accommodation of a small component 4. The resilient arms 10, on the outsides thereof, have a bead 11 facing in the direction of the accommodation cavity 3 and extending in the direction of insertion of the small components 4 on the resilient arms 9. By means of the beads 11 on the resilient arms 9, the small component 4 thus is urged against the opposite rigid wall 5 and fixed. The resilient arms 9 as well as the beads 11 extend over the full height of the accommodation cavity so that the small component to be accommodated can be fixed at all levels. The accommodation cavity 3 is designed as through opening, permitting access to the component from both sides thereof.

On the outside of rigid wall 5, there are arranged two lateral arms 12 and 13 each having a bore 14 for accommodating a pin for connecting the chain links. On the opposite side of chain link 2, the outside of outer wall

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7 has a central arm 16 with a bore 17 arranged thereon which, upon connection of the chain links 2, is slid between the two lateral arms 12 and 13 and is connected thereto by insertion of the common pin 15. The width of the central arm 16 is matched to the spacing between the two lateral arms 12, 13 such that the chain links still can be pivoted well about the connecting pin 15, while however axial movement between the chain links is excluded. The resilient wall 6 and the rigid wall 5 in the embodiment shown are laterally connected via the two side walls 18 and 19. These side walls 18 and 19 are spaced apart exactly in the length of the component 4 to be accommodated. Rigid wall 5, along the majority of its length, has a U-spaced recess 20, so that the component to be accommodated is urged against the lateral sections 5a and 5b of wall 5 only.

On the outside of side walls 18 and 19, there are arranged elongate projections 21 and 22 in the longitudinal direction of the chain, which serve for guiding the chain links. These projections 21 and 22 are arranged at the same level as pins 15 mutually connecting the chain links, and in the width thereof approximately correspond to the diameter of the pins 15. The pins 15 project beyond the outsides of lateral arms 12, 13 to such an extent that the face side thereof is flush with the outside of projections 21, 22.

- In an embodiment not shown in the drawings, there are provided no side walls 18, 19 and rigid wall 5 as well as resilient wall 6 are connected via the projections 21, 22 only.
- The exact positioning of the chain links in the tool takes place by means of pins 15 that are made of metal. In contrast thereto, the remainder of the chain links is made by inexpensive plastics injection molding. Due to

guiding and positioning on the projecting sections of the metal pins 15, wear on the plastics body is avoided, and particle formation due to wear, involving great problems in the production of electronic components, is eliminated.

Fig. 4 illustrates a form chain in a top plan view, with the form chain consisting of chain links as described with reference to Figs. 1 to 3. This form chain 1 is a vertically deflectable form chain, i.e. the pins 15 are arranged perpendicularly to the direction of insertion of the components 4 in the accommodation cavities 3.

Figs. 5 and 6 show a side view and a top plan view, respectively, of a chain link of a horizontal form chain. The essential difference from the chain links shown in Figs. 1 to 4 resides in that the connecting pin 15 (shown in Fig. 5) extends in the direction of insertion of a component 4 in the accommodation cavity. The lateral arms 12, 13 thus are arranged above each other on rigid wall 5. The bore 17 in the central arm 16 arranged on the opposite outer wall 7 also extends in the direction of insertion of a component in the accommodation cavity 3, so that the central arm 16, upon mating of chain links 2, can be received between the lateral arms 12, 13. In this embodiment, the pin 15 is not arranged to be projecting beyond the lateral arms 12, 13, so that fixing of the chain links 2 in the tool takes place via the lateral projections 21 and 22 only. All other features are analogous to the chain link described in Figs. 1 to 4.

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A horizontal form chain consisting of chain links as described in Figs. 5 and 6 can be bent in horizontal direction and thus may easily be passed to processing stations distributed in a room.

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Figs. 7 to 11 shows the constituent parts of a form chain 1 with two pivot axles or pins 15a and 15b as well as part of the form chain proper. All features corresponding to the form chain according to Figs. 1 to 4 bear the same reference numerals and will not be described in the following. The form chain with two pivot pins consists of chain links 2 (cf. Figs. 7 an 8) and connecting pieces 23 (cf. Figs. 9 and 10) which are inserted between the chain links 2 upon assembly of the form chain. The chain links 2 on one side thereof receive the pin 15a transversely to the direction of insertion of the small components 4 in the accommodation cavity 3 and on the opposite side thereof receive the pin 15b perpendicularly to said direction of insertion of the small components 4 in the accommodation cavity. In the embodiment shown, the lateral arms 12a and 13a on the side of rigid wall 5 are arranged so as to receive pin 15a transversely to the direction of insertion in accommodation cavity 3, whereas on the side of resilient wall 6 on outer wall 7, the lateral arms 12b and 13b are arranged above each other so that they can receive the connecting pin 15b in the direction of insertion in the accommodation cavity. The connecting piece 23 shown in Figs. 9 and 10 consists of two halves 23a and 23b that are mutually identical, but connected to each other in a manner displaced by 90°. Each of the connecting halves 23a and 23b has a bore 24a and 24b, respectively, through which the pins 15a and 15b, respectively, introduced upon assembly of the form chain.

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In the embodiment shown, the lateral arms 12a and 13a are spaced apart by the same distance as lateral arms 12b and 13b. Due to this, the two halves 23a and 23b of the connecting piece can be formed in identical manner and just need to be offset from each other by 90°.

However, it is just as well possible to space the lateral arms 12a and 13a as shown in Fig. 2 and to form the connecting piece 23a correspondingly wider.

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Fig. 11 shows the form chain with the chain links according to Figs. 7 and 8 and the connecting piece according to Figs. 9 and 10 in the assembled state. The half 23a of the connecting piece is received between lateral arms 12a and 13a, and the other half 23b is received between lateral arms 12b and 13b of the adjacent connecting piece. By insertion of the pins 15a and 15b, the chain links are fixedly connected to each other so as to be pivotable about pins 15a and 15b, respectively.

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The pins 15a laterally project beyond the outsides of the lateral arms 12a and 13a to such an extent that the end faces are aligned with the outside of projections 21 and 22. This form chain, which is double-deflectable, thus may also be guided via the projections 21, 22 and the pins 15a.

Figs. 12 to 15 show an additional embodiment of a vertically deflectable form chain. Features corresponding to those of the form chains described hereinbefore bear the same reference numerals and shall not be elucidated in more detail. The chain links of this form chain, on the side of rigid wall 5, are provided as well with two lateral arms 12, 13 having respective bores 14. On the opposite side, the chain link has two additional lateral arms 25, 26 which, with respect to their position, are arranged internally of the lateral arms 12, 13 and have circular axle-type projections 27 on the outside thereof which upon mating of the chain links engage the bores 14 of lateral arms 12, 13 of the adjacent chain link from the inside thereof. The end faces of lateral arms 12, 13 are each formed with a slope 28 extending inwardly. Upon mating of the chain links, the slope 28 rides onto the

axle-type projections 27, whereby the lateral arms 12, 13 are resiliently bent outwardly and the axle-type projections 27 may latchingly engage the bores 14. In this embodiment, the resilient wall 6 consists of two resilient arms 28 which at the lower end thereof are fixedly connected to outer wall 7 and the upper end of which urges the component 4 against the opposite rigid wall 5. The resilient arms 28,3 at the upper inside thereof, are provided with a bead 29 exerting pressure on the outside of the component 4.

For centering the chain link in a processing station, the outside of stationary wall 5 is formed with a slope 30 at which the centering means of the tool engages, thereby exactly determining the position of the chain link in the tool.

The invention is not restricted to the embodiments shown. For example, it is also possible to employ the resilience feature depicted in the preceding embodiments in the form chain according to Figs. 12 to 15.

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#### Specification

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Transport System for Small Components

The invention relates to a transport system for small components, in particular electrical components, which are arranged in series in said transport system.

Such a transport system is known from EP 0 085 837 B1. This known transport system consists of cassettes having a plurality of levels, with a plurality of rod-shaped magazines being received in each level and said magazines each accommodating a series of components. These magazines are movable and, for processing the components, are each removed from the cassette and inserted in the processing tool and, after processing, are received again in an output cassette. For passing an entire cassette from one processing station to the next one, the cassette is shifted to a transportation table or another means of transportation and is moved to the next processing station.

This system, though constituting the latest prior art for transporting small components, still involves some disadvantages. In producing a small component, the time necessary for changing the cassettes between the processing stations and for inserting the magazines into the processing tools still takes a relatively high share.

To make these times less relevant with respect to the entire production time, two processing steps per magazine carrier are carried out in a row at those process-

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ing stations where this is possible. However, some processing steps, such as matching of the magnets in the production of relays, have different processing times. In case of such processing steps carried out in a row, the slower one of the two stations thus always determines the clock feed, thereby reducing again the efficiency of two processing units at a magazine carrier.

45 It is thus the object of the invention to indicate a transport system increasing the efficiency of a production line.

According to the invention, this object is met in that said transport system is designed as form chain having an arbitrary number of chain links and the small components are accommodated in the chain links.

The form chain can be passed from one processing station to to next one without a problem, whereby the insertion of cassettes in the processing stations and of the magazines in the clock modules are eliminated. The design of the transport system as form chain provides the additional advantage that differences in the clock time can be compensated by chain loops between the individual processing stations. Due to the fact that one time the first working station and another time the second working station needs a longer clock time, the chain loop arranged therebetween one time becomes shorter and one time longer, without the two working stations impeding each other.

It is expedient to provide only one accommodation cavity per chain link so that feeding always can be carried out immediately after processing, in contrast to the case with two accommodation cavities where feeding is also dependent on the processing time of the additional component.

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The accommodation cavity preferably has a resilient wall through which the component is urged against an opposite rigid wall. Moreover, it is advantageously formed as through opening, permitting access to both sides of the component. For access to both sides, it is advantageous furthermore if the chain links are pivotable about an axle or pin transverse to the direction of insertion of the accommodation cavity. If the form chain is passed in horizontal direction, processing of the components can take place from above, and if processing from the lower side is desired, the form chain is moved in a loop such 85 that the bottom side of the components is directed upwardly. It has turned out as an especially space-saving solution to guide the chain in the processing station in vertical direction so that processing can take place from both sides thereof. The arrangement of the pin 90 transversely to the direction of insertion of the components in the accommodation cavity provides the additional advantage that the loops between the processing stations hang down due to gravity so that there are thus no specific measures necessary for accommodating the 95 buffer loops.

However, it is just as well possible that the chain links are pivotable perpendicularly to the direction of accommodation of the accommodation cavity. This design has the advantage that the chain can be guided horizontally in a bend or a circle and thus can be fed better to processing stations arranged in horizontally staggered manner. This modification also is advantageous for use in small rooms or for guiding the chain through several rooms.

In a slightly more complex embodiment, the chain links are connected via two mutually perpendicular axles so that, with the exception of the increased manufacturing

costs and the more complex guiding of the chain, the advantages of the two modifications described hereinbefore are united.

The chain links are inexpensively made by plastics injection molding. The axles or pins between the chain links preferably consist of metal and project laterally beyond the walls of the accommodation cavity. At the projecting ends of the metal pins, the chain links on the one hand can be guided in ideal manner and on the other hand can be fixed exactly in the processing stations. Guiding and fixing on the metal pins has the advantage that no wear occurs on the plastics chain link and no formation of particles takes place which may entail problems in the production of electronic components.

It is expedient if the accommodation cavities at least have the height of the components to be accommodated. In this case, the component may be accommodated completely in the accommodation cavity of the form chain and the form chain, for storage or delivery, may be wound on a spool or bobbin.

Further embodiments and advantageous developments are indicated in the dependent claims.

The invention will be elucidated hereinafter in more detail by way of embodiments shown in the drawings in which

- Fig. 1 shows a side view of a chain link according to the invention,
- shows a top plan view of the chain link according to Fig. 1,

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- Fig. 3 shows a front view of the chain link according to Fig. 1,
- Fig. 4 shows a top plan view of a form chain consisting of chain links according to Fig. 1,
- shows a side view of a chain link in which the direction of insertion of the components to be accommodated is parallel to the connecting axles of the adjacent chain link,
- Fig. 6 shows a top plan view of the chain link according to Fig. 5,
- Figs. 7 and 8 show a top plan view and a side view, respectively, of a chain link adapted to be connected to the adjacent chain link via two mutually perpendicular pivot axles,
- Figs. 9 and 10 show a side view and a top plan view, respectively, of a connecting piece inserted between the chain links according
  to Figs. 7 and 8 to form a form chain,
- Fig. 11 shows a top plan view of a form chain having mutually perpendicular pivot axles along with chain links according to Figs. 7 and 8 and connecting pieces according to Figs. 9 and 10,
- Figs. 12 and 13 show a sectional side view and a top plan view, respectively, of a chain link having a modified pivot axle construction and component fixation,

Figs. 1 to 4 illustrate a first embodiment of the trans-190 port system according to the invention. The transport system consists of a form chain 1 (cf. Fig. 4) composed of a series of chain links 2. Each chain link 2 has an accommodation cavity 3 in which one small component 4 each can be accommodated and fixed. For fixing the small 195 components 4, the chain link has a rigid wall 5 and a resilient wall 6. The resilient wall 6 consists of an outer wall 7 having on the inside thereof a central web 8 extending in the direction of insertion of the small components 4 and having on both sides thereof resilient 200 arms 9 extending therefrom. Between the free ends of the resilient arms 9 and the inside of the outer wall 7 there is thus formed an air gap 10 into which the resilient arms 9 can retract upon accommodation of a small component 4. The resilient arms 10, on the outsides 205 thereof, have a bead 11 facing in the direction of the accommodation cavity 3 and extending in the direction of insertion of the small components 4 on the resilient arms 9. By means of the beads 11 on the resilient arms 9, the small component 4 thus is urged against the oppo-210 site rigid wall 5 and fixed. The resilient arms 9 as well as the beads 11 extend over the full height of the accommodation cavity so that the small component to be accommodated can be fixed at all levels. The accommodation cavity 3 is designed as through opening, permitting 215 access to the component from both sides thereof.

On the outside of rigid wall 5, there are arranged two lateral arms 12 and 13 each having a bore 14 for accommodating a pin for connecting the chain links. On the opposite side of chain link 2, the outside of outer wall

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7 has a central arm 16 with a bore 17 arranged thereon which, upon connection of the chain links 2, is slid between the two lateral arms 12 and 13 and is connected thereto by insertion of the common pin 15. The width of the central arm 16 is matched to the spacing between the two lateral arms 12, 13 such that the chain links still can be pivoted well about the connecting pin 15, while however axial movement between the chain links is excluded. The resilient wall 6 and the rigid wall 5 in the embodiment shown are laterally connected via the two side walls 18 and 19. These side walls 18 and 19 are spaced apart exactly in the length of the component 4 to be accommodated. Rigid wall 5, along the majority of its length, has a U-spaced recess 20, so that the component to be accommodated is urged against the lateral sections 5a and 5b of wall 5 only.

On the outside of side walls 18 and 19, there are arranged elongate projections 21 and 22 in the longitudinal direction of the chain, which serve for guiding the chain links. These projections 21 and 22 are arranged at the same level as pins 15 mutually connecting the chain links, and in the width thereof approximately correspond to the diameter of the pins 15. The pins 15 project beyond the outsides of lateral arms 12, 13 to such an extent that the face side thereof is flush with the outside of projections 21, 22.

In an embodiment not shown in the drawings, there are provided no side walls 18, 19 and rigid wall 5 as well as resilient wall 6 are connected via the projections 21, 22 only.

The exact positioning of the chain links in the tool takes place by means of pins 15 that are made of metal. In contrast thereto, the remainder of the chain links is made by inexpensive plastics injection molding. Due to

guiding and positioning on the projecting sections of the metal pins 15, wear on the plastics body is avoided, and particle formation due to wear, involving great problems in the production of electronic components, is eliminated.

Fig. 4 illustrates a form chain in a top plan view, with the form chain consisting of chain links as described with reference to Figs. 1 to 3. This form chain 1 is a vertically deflectable form chain, i.e. the pins 15 are arranged perpendicularly to the direction of insertion of the components 4 in the accommodation cavities 3.

Figs. 5 and 6 show a side view and a top plan view, respectively, of a chain link of a horizontal form chain. The essential difference from the chain links shown in Figs. 1 to 4 resides in that the connecting pin 275 (shown in Fig. 5) extends in the direction of insertion of a component 4 in the accommodation cavity. The lateral arms 12, 13 thus are arranged above each other on rigid wall 5. The bore 17 in the central arm 16 arranged on the opposite outer wall 7 also extends in the direc-280 tion of insertion of a component in the accommodation cavity 3, so that the central arm 16, upon mating of chain links 2, can be received between the lateral arms 12, 13. In this embodiment, the pin 15 is not arranged to be projecting beyond the lateral arms 12, 13, so that 285 fixing of the chain links 2 in the tool takes place via the lateral projections 21 and 22 only. All other features are analogous to the chain link described in Figs. 1 to 4.

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A horizontal form chain consisting of chain links as described in Figs. 5 and 6 can be bent in horizontal direction and thus may easily be passed to processing stations distributed in a room.

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Figs. 7 to 11 shows the constituent parts of a form chain 1 with two pivot axles or pins 15a and 15b as well as part of the form chain proper. All features corresponding to the form chain according to Figs. 1 to 4 bear the same reference numerals and will not be described in the following. The form chain with two pivot pins consists of chain links 2 (cf. Figs. 7 an 8) and connecting pieces 23 (cf. Figs. 9 and 10) which are inserted between the chain links 2 upon assembly of the form chain. The chain links 2 on one side thereof receive the pin 15a transversely to the direction of insertion of the small components 4 in the accommodation cavity 3 and on the opposite side thereof receive the pin 15b perpendicularly to said direction of insertion of the small components 4 in the accommodation cavity. In the embodiment shown, the lateral arms 12a and 13a on the side of rigid wall 5 are arranged so as to receive pin 15a transversely to the direction of insertion in accommodation cavity 3, whereas on the side of resilient wall 6 on outer wall 7, the lateral arms 12b and 13b are arranged above each other so that they can receive the connecting pin 15b in the direction of insertion in the accommodation cavity. The connecting piece 23 shown in Figs. 9 and 10 consists of two halves 23a and 23b that are mutually identical, but connected to each other in a manner displaced by 90°. Each of the connecting halves 23a and 23b has a bore 24a and 24b, respectively, through which the pins 15a and 15b, respectively, are introduced upon assembly of the form chain.

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In the embodiment shown, the lateral arms 12a and 13a are spaced apart by the same distance as lateral arms 12b and 13b. Due to this, the two halves 23a and 23b of the connecting piece can be formed in identical manner and just need to be offset from each other by 90°.

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Fig. 11 shows the form chain with the chain links according to Figs. 7 and 8 and the connecting piece according to Figs. 9 and 10 in the assembled state. The half 23a of the connecting piece is received between lateral arms 12a and 13a, and the other half 23b is received between lateral arms 12b and 13b of the adjacent connecting piece. By insertion of the pins 15a and 15b, the chain links are fixedly connected to each other so as to be pivotable about pins 15a and 15b, respectively.

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The pins 15a laterally project beyond the outsides of the lateral arms 12a and 13a to such an extent that the end faces are aligned with the outside of projections 21 and 22. This form chain, which is double-deflectable, thus may also be guided via the projections 21, 22 and the pins 15a.

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Figs. 12 to 15 show an additional embodiment of a vertically deflectable form chain. Features corresponding to those of the form chains described hereinbefore bear the same reference numerals and shall not be elucidated in more detail. The chain links of this form chain, on the side of rigid wall 5, are provided as well with two lateral arms 12, 13 having respective bores 14. On the opposite side, the chain link has two additional lateral arms 25, 26 which, with respect to their position, are arranged internally of the lateral arms 12, 13 and have circular axle-type projections 27 on the outside thereof which upon mating of the chain links engage the bores 14 of lateral arms 12, 13 of the adjacent chain link from the inside thereof. The end faces of lateral arms 12, 13 are each formed with a slope 28 extending inwardly. Upon mating of the chain links, the slope 28 rides onto the

axle-type projections 27, whereby the lateral arms 12,
13 are resiliently bent outwardly and the axle-type projections 27 may latchingly engage the bores 14. In this
embodiment, the resilient wall 6 consists of two resilient arms 28 which at the lower end thereof are fixedly
connected to outer wall 7 and the upper end of which
urges the component 4 against the opposite rigid wall 5.
The resilient arms 28, at the upper inside thereof, are
provided with a bead 29 exerting pressure on the outside
of the component 4.

For centering the chain link in a processing station, the outside of stationary wall 5 is formed with a slope 30 at which the centering means of the tool engages, thereby exactly determining the position of the chain link in the tool.

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The invention is not restricted to the embodiments shown. For example, it is also possible to employ the resilience feature depicted in the preceding embodiments in the form chain according to Figs. 12 to 15.

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#### **Claims**

- 1. A transport system for small components (4), in particular electrical components, which are arranged in series in said transport system, characterized in that the transport system is designed as form chain (1) comprising an arbitrary number of chain links (2), and the small components (4) are accommodated in the chain links (2).
  - 2. A transport system according to claim 1, characterized in that there is provided one accommodation cavity (3) for each chain link (2).
  - 3. A transport system according to claim 2, characterized in that the accommodation cavity (3) has at least two walls (5, 6), of which one wall (5) is rigid and the opposite wall (6) is resilient.
  - 4. A transport system according to claim 2, characterized in that the resilient wall (6) consists of a central web (8) extending in the direction of insertion of the small components (4) and having resilient arms (9) laterally extending therefrom.
  - 5. A transport system according to claim 3, characterized in that the resilient arms (9) extend over the full height of the accommodation cavity (3) and on the outsides thereof have a bead (11) directed towards the inside.
- A transport system according to claim 3, characterized in that the resilient wall (6) consists
   of an outer wall (7) and two resilient arms (28), said resilient arms (28), at the bottom side thereof,

being connected to the outer wall (7) and, at the upper ends thereof, being freestanding and resilient.

- 7. A transport system according to any of claims 3 to 6, characterized in that the outside of the rigid wall (5) opposite the resilient wall (6) has a slope (30).
- 8. A transport system according to any of claims 2 to 7, 435 characterized in that the accommodation cavity (3) is designed as through opening.
- 9. A transport system according to any of claims 2 to 8, characterized in that the chain links (2) are pivotable about a pin (15) transversely to the direction of insertion in the accommodation cavity (3).
- 10. A transport system according to any of claims 2 to 8, characterized in that the chain links (2) are pivotable about a pin (15) perpendicularly to the direction of insertion in the accommodation cavity (3).
- 11. A transport system according to any of claims 1 to 8, characterized in that the chain links (2) are connected via two pins (15a, 15b) arranged perpendicularly to each other.
  - 12. A transport system according to any of claims 9 or 11,
- characterized in that each chain link (2) on one side thereof has two lateral arms (12, 13) with bores (14) and on the opposite side thereof has a central arm (16) with a bore (17) for accommodating said pin (15).
  - 13. A transport system according to any of claims 1 to 12,

characterized in that the chain links (2) are made by plastics injection molding.

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14. A transport system according to any of claims 9 to 13, characterized in that the pins (15, 15a, 15b) are made of metal.

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15. A transport system according to any of claims 12 to 14, characterized in that the pins (15, 15a) project laterally beyond the lateral arms (12, 13; 12a, 13a).

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- 16. A transport system according to any of claims 9 to 15, characterized in that, on the sides of the accommodation cavities (3) extending in the longitudinal direction of the chain, there are formed projections (21, 22) on both sides thereof extending in longitudinal direction, which have a width corresponding to the diameter of the pin (15, 15a) and in the longitudinal direction thereof are arranged at the level of said pin (15, 15a).
  - 17. A transport system according to any of claims 1 to 8, characterized in that the chain links (2), on one side thereof, have two lateral arms (12, 13) with bores (14) and, on the opposite side thereof, have two lateral arms (25, 26) with axle-type projections (27), said axle-type projections (27) latchingly engaging said bores (14) upon assembly of the links (2).

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18. A transport system according to any of claims 2 to 17,

14. A transport system according to any of claims 1 to 3, characterized in that the form chain (1) comprises chain links (2) with different accommodation cavities (3) for different components or component stages.

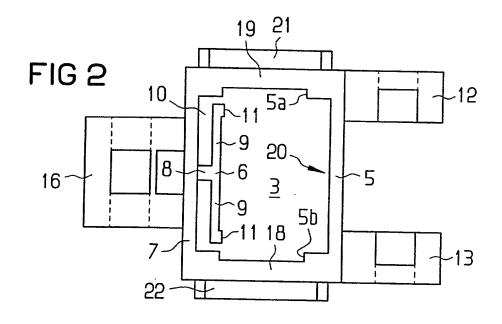
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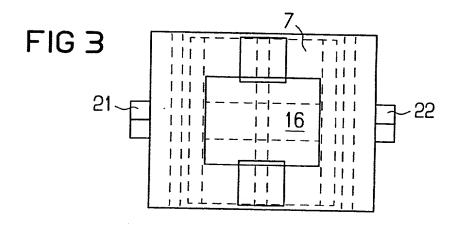
Transport System for Small Components

The invention relates to a transport system for small components (4), especially electrical components, which are arranged in a row in said transport system. The invention is characterized by a form chain (1) which comprises an arbitrary number of chain links (2) and in which the small components (4) are accommodated in the chain links (2).

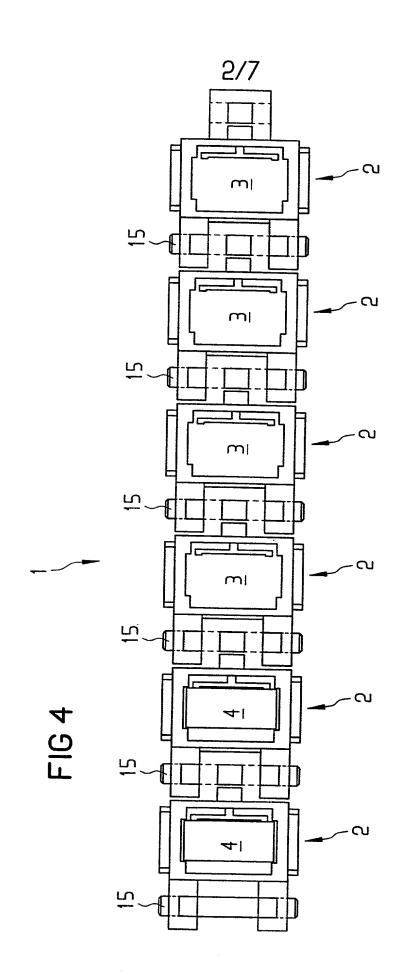
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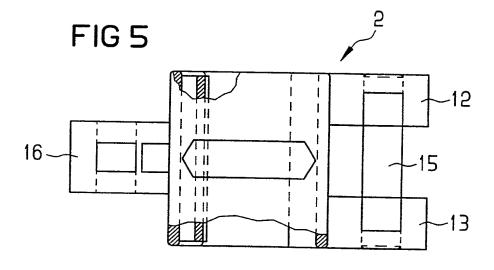
(Fig. 4)

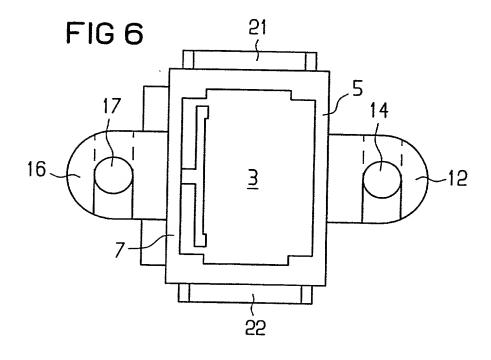


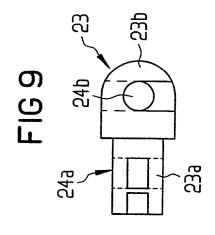


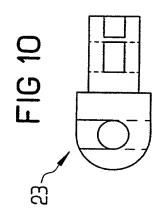
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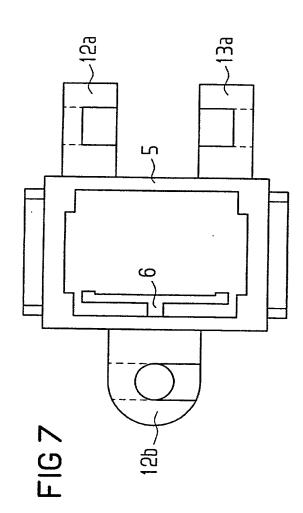


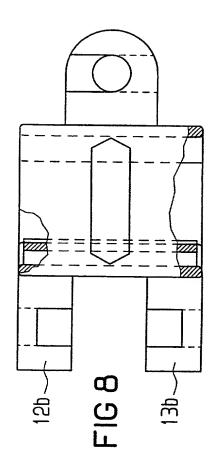


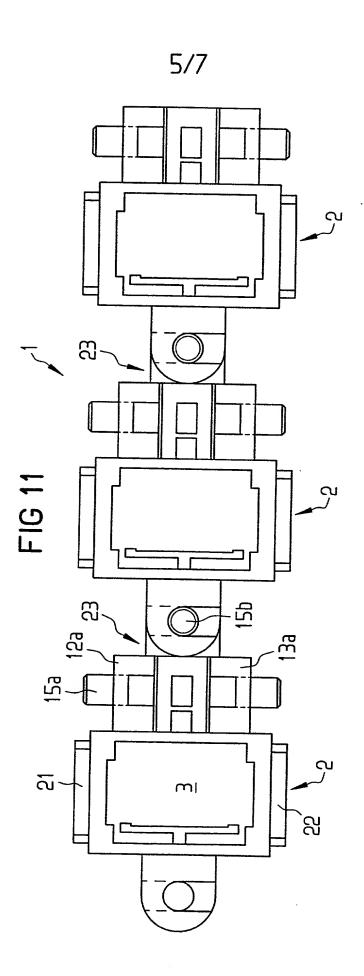






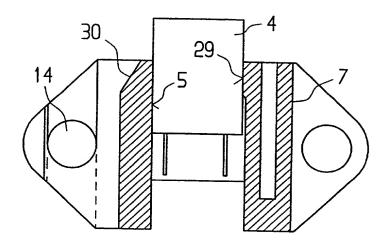


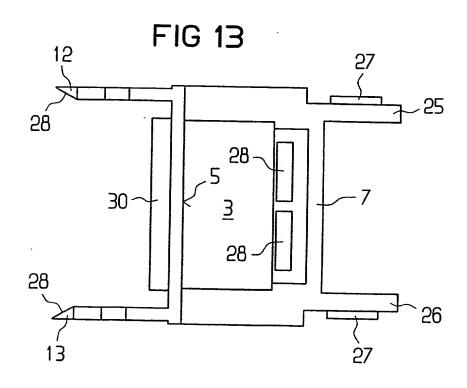




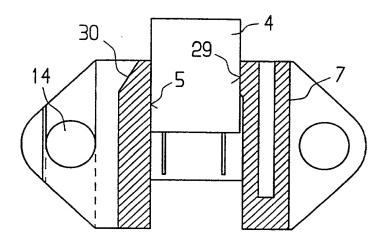
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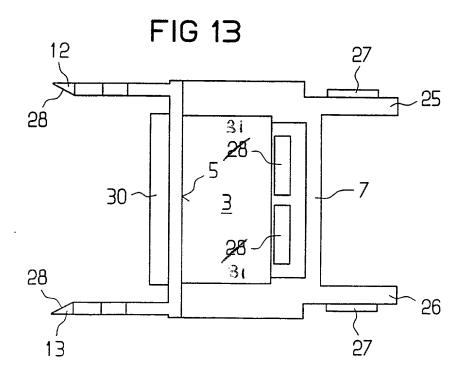




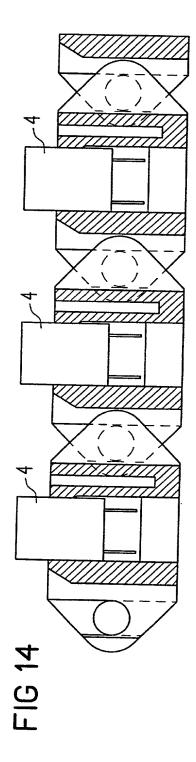


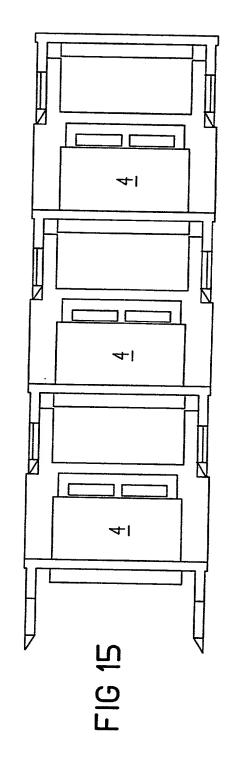












Docket No.
KSN0014

# **Declaration and Power of Attorney For Patent Application English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled						
TRANSPORT SYSTEM FOR SMALL COMPONENTS						
the specification of v	vhich					
(check one)						
☐ is attached here	to.					
⊠ was filed on Ju	was filed on June 4, 2001 as United States Application No.					
Application Num	ber <u>09/857,353</u>					
and was amende	ed on June 4, 2001 b	by Preliminary Amendment				
		(if applicable)				
<b>▼</b>		I understand the contents of the above idea by amendment referred to above.	ntified specification,			
I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.						
known to me to be	<del>-</del>					
known to me to be Section 1.56.  I hereby claim fore Section 365(b) of a any PCT Internation listed below and have	e material to patent ign priority benefits ny foreign applicati al application which we also identified be or PCT Internation		ection 119(a)-(d) or r Section 365(a) of n the United States, ication for patent or			
known to me to be Section 1.56.  I hereby claim fore Section 365(b) of a any PCT Internation listed below and havinventor's certificate	e material to patent ign priority benefits ny foreign applicati al application which we also identified be or PCT Internation claimed.	tability as defined in Title 37, Code of Fe s under Title 35, United States Code, Se ion(s) for patent or inventor's certificate, o n designated at least one country other than elow, by checking the box, any foreign application having a filing date before the	ection 119(a)-(d) or r Section 365(a) of n the United States, ication for patent or			
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I hereby claim the benefit under application(s) listed below:	35 U.S.C. Section 1	19(e) of	any United	States provisional
(Application Serial No.)	(Filing Date)			
(Application Serial No.)	(Filing Date)			
(Application Serial No.)	(Filing Date)	<del></del>		

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

PCT/DE99/03812	1 December 1999	Pending
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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